



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#6  
D. Scott  
2-802

In re Application of:

Roy Flaker (Deceased) et al.

Serial No.: 09/588,351

Art Unit: 2815

Filed: June 7, 2000

Examiner: To be assigned

For: CIRCUITS AND METHODS  
TO IMPROVE THE  
OPERATION OF SOI  
DEVICES

Atty Docket: IBM: FI9-96-085  
CBLH: 20136/0305

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

January 25, 2002

Sir:

For ensuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

These references are taken from duplicate U.S. Divisional Patent Application No. 09/644,975, filed August 24, 2000, which was filed in error, and which will be allowed to go abandoned by failure to respond to the outstanding office action in that case.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

[ ] U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

☐ A concise explanation of the relevance of the non-English language references is attached:

☐ The relevance of the references is indicated on the enclosed copy of the \_\_\_\_ Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);

☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, the fee box below OR one of the last two boxes must be checked);

OR

☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

☐ The Director is hereby authorized to charge the \$180.00 fee set forth in 37 CFR § 1.17(p) to IBM Deposit Account No. 09-0458.

CERTIFICATION

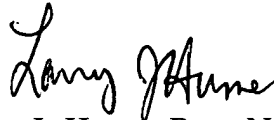
☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.

☐ The undersigned certifies that no item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to

any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to IBM Deposit Account No. 09-0458. A duplicate copy of this authorization is attached for the Finance Branch.

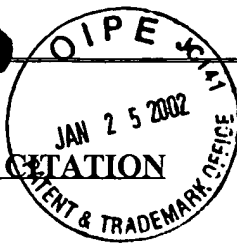
Respectfully submitted,



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FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

Atty Docket  
20136/0305Serial No.  
09/588,351

Applicant: Roy Flaker (Deceased) et al.

Filing Date  
June 7, 2000Group Art Unit  
2815

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AJ	5,760,444	06/1998	Okumura	257	350	
	AK	6,015,992	01-2000	Chatterjee et al.	257	350	
	AA	6,222,710	04-2001	Yamaguchi	361	56	
	AB	5,982,003	11-1999	Hu et al.	257	347	
	AC	6,275,094	08-2001	Cranford, Jr., et al.			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)


Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 600. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).